



2176 #5 SP
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

01-22-04

RECEIVED

In re the application of)

MATENA, et al.)

Application No: 09/833,856)

Filed: April 11, 2001)

For: METHOD AND APPARATUS FOR)
PERFORMING FAILURE RECOVERY)
IN A JAVA PLATFORM)

Group: 2176

JAN 21 2004

Examiner: Tanya Lee Technology Center 2100

Atty. Docket No: SUNMP004

Date: January 14, 2004

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 14, 2004.

Signed: Debbie Triacca

Debbie Triacca

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97(e)

RECEIVED

JAN 22 2004

TC 2100

Assistant Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

A statement is hereby made that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. (37 CFR 1.97(e)(1)). A copy of an International Search Report is enclosed herein. If it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No. SUNMP004).

Respectfully submitted,
MARTINE & PENILLA, LLP

Albert S. Penilla, Esq.
Reg. No. 39,487

710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
Telephone (408) 749-6900
Customer Number 32291

Attorney Docket No: SUNMP004